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#### Substitute for form 1449 A & B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor	Shinako KANEKO	. 60 E
Art Unit		
Examiner Name		2 <b>2 2 3</b>
Attorney Docket Number	Q67962	600

U.S. PATENT DOCUMENTS							
Examiner Cite Initials* No.1	C'A	Document	Document Number				
	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
		JP	5-148320		06-15-1993		abstract
		JP	9-204932		08-05-1997	Masami TSUTSUMI	abstract
		WO	95/07555		03-16-1995	Niles A. FLEISCHER	
				1	<u> </u>		
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Cite In Initials* No.1 jour		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
	P.C. Pandey, et al., "Electrochemical Synthesis of Polyindole and Its Evaluation for Rechargeable Battery Applications," J. Electrochem. Soc., Vol. 145, No. 3, March 1998, pp. 999-1003, with abstract.				
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Examiner Signature		Date	Considered	 <u></u>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of -

Shinako KANEKO, et al.

Appln. No.: (Divisional of U.S. Serial No. 09/619,708, filed July 19, 2000)

Confirmation No.:

Group Art Unit:

Filed:

Examiner:

For:

SECONDARY BATTERY AND CAPACITOR USING INDOLE POLYMERIC COMPOUND

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form which are all the references of record in parent application No. 09/619,708.

Applicants are not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d).) Copies of any cited copending applications, if not previously submitted, are being submitted herewith.

- 1. P.C. Pandey, et al., "Electrochemical Synthesis of Polyindole and Its Evaluation for Rechargeable Battery Applications," J. Electrochem. Soc., Vol. 145, No. 3, March 1998, pp. 999-1003, with abstract.
- 2. Japanese Unexamined Patent Publication No. 5-148320, published June 15, 1993, with English abstract.



Information Disclosure Statement Divisional of Serial No. 09/619,708 Page 2

3. Japanese Unexamined Patent Publication No. 9-204932, published August 5, 1997, with English abstract.

4. World Patent No. 95/07555, published March 16, 1995, with English abstract (corresponds to Japanese Unexamined Patent Publication No. 9-508487, published August 26, 1997).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: January 15, 2002

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